



EQUITIES & FUTURES: Non-Qualified Account Attestation


To be completed for all 401Ks & Profit Sharing Plans

Account Number: _____

To: TradeStation Securities
8050 SW 10th Street, Suite 2000
Plantation, FL 33324

I acknowledge that TradeStation **will not** report to the Internal Revenue Service (IRS) certain account and/or trading activity information associated with my Profit Sharing Plan (PSP) and/or 401K Plan account even though my account is being coded by TradeStation as a “non-qualified” account. This is because TradeStation, as a non-custodian, cannot code my account as “qualified” for IRS purposes. TradeStation will not issue, as applicable, IRS Forms 1099, 1099R or 5498 on my account.

I further acknowledge that it is my, or my third party administrator’s, responsibility to manage all IRS books and records and reporting requirements, and I understand that TradeStation does not offer or give any tax advice.

Account Title		
Authorized Signature		Title
Date		
Print Authorized Signor's Name		