

TradeStation Securities, Inc.

Exempt Commodity Trading Advisory Letter



(NOTE: The following is to be filled out and signed if the individual who is being given power of attorney to trade the customer's futures account is neither a broker nor immediate family member or a registered CTA/CPO.)

I _____ (name of individual to be granted online access), individually or on behalf of the entity that has been granted discretionary authority, have discretionary trading authority over the account titled _____ (Acct.#:) _____.

You (TradeStation) have not been provided with a disclosure document because I am/we are operating pursuant to an exemption from the Commodity Exchange Act.

I am, and if I am acting on behalf of an entity, such entity also is, exempt from registering as a CTA because both I and such entity (if applicable): (circle applicable letter)

- ☐ Have provided advice to 15 or fewer persons over the past 12 months and do not hold myself (or my entity) out to the public as a CTA.
- ☐ Am/Are a dealer, processor, broker or seller in cash market transactions of any commodity (or product thereof) and the person's commodity trading advice is solely incidental to the conduct of its cash market business.
- ☐ Am/Are a non-profit, voluntary membership, trade association or farm organization and the person's commodity trading advice is solely incidental to the conduct of its business as such association or organization.
- ☐ Am/Are a foreign-based entity, located outside the U.S., and which only solicits non-U.S. citizens.

ACCOUNT AGENT WHO HAS BEEN GIVEN TRADING AUTHORIZATION

Signature		
Printed Name	Date	
Title (if agent is an entity)		
Legal Name of Entity (agent)		

CUSTOMER ACKNOWLEDGEMENT

Signature		
Printed Name	Date	
Joint Party Signature (if joint account)		
Joint Party Printed Name	Date	
Title (if signing on behalf of an entity)		
Legal Name of Entity		