

Equities Account Customer Account Transfer Form

☐ Full Transfer ☐ Partial Transfer

TradeStation Securities, Inc.
Telephone No. (954) 652-7900
Email: clientservice@tradestation.com

INFORMATION ABOUT CUSTOMER'S TRADESTATION SECURITIES ACCOUNT

Name/Acct. title _____ Customer Social Security or Tax ID No. _____
TradeStation Securities Account No. _____ Second SS# _____

INFORMATION ABOUT THE ACCOUNT TO BE TRANSFERRED. Please make sure to include a copy of your current account statement.

Name/Acct. title _____ Broker Clearing No. (Optional) _____
Account Number _____ Name of firm _____
Address of firm _____

Complete this section - If transferring from a bank, mutual fund, or credit union - Does not apply to brokerage firms.

You are hereby requested to: ☐ Transfer all assets in kind ☐ Liquidate Mutual Fund
☐ Transfer proceeds from Certificates of Deposit AT MATURITY ☐ Surrender Annuity
☐ Liquidate Certificates of Deposit IMMEDIATELY. I am aware of and acknowledge the penalty I will incur from an early withdrawal.

Complete this section - To effect a partial transfer (additional assets must be listed on a separate page with your signature)

Long/Short	Quantity	Description of Asset	Cash Balance (indicate credit or debit)

TO THE CARRYING FIRM NAMED ABOVE:

Unless otherwise indicated in the instructions above, please transfer all assets in my account to TradeStation Securities, Inc. I understand that to the extent any assets in my account are not readily transferrable with or without penalties, such assets may not be transferred within the timeframes required by NYSE Rule 412 or similar rule of the FINRA or other designated examining authority.

Unless otherwise indicated in the instruction below, I authorize you to liquidate any nontransferable proprietary money market fund assets that are part of my account and transfer the resulting credit balance to the successor custodian. I authorize you to deduct any outstanding fees due you from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due you, I authorize you to liquidate the assets in my account to the extent necessary to satisfy that obligation. If certificates or other instruments in my account are in your physical possession, I instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian to transfer them in its name for the purpose of sale, when and as directed by me. I understand that upon receiving a copy of this transfer instruction, you will cancel all open orders for my account on your books.

I understand that you will contact me with respect to the disposition of any assets in my securities account that are non-transferable.

IRA AGE CONSIDERATIONS: THE FOLLOWING APPLIES TO RETIREMENT ACCOUNT TRANSFERS.

If I am over the age of 73, I agree to satisfy my required minimum distribution at either the sending firm or TradeStation per my instructions.

Signatures - A copy of the customer's most recent statement is required to process this transfer. If this account transfer is between joint and individual account, I understand this transfer constitutes a change in ownership of the assets and that the new registered account holders will have exhaustive rights to the assets.

Date _____

Customer's Signature _____ 

Joint Account
Holders Signature /
Plan Administrator _____ 

Medallion signature
guarantee program (if applicable) _____

Letter of Acceptance - To the prior Trustee: Please be advised that TradeStation Securities, Inc. does hereby accept appointment as successor custodian

Successor Custodian Signature (TSS completes) _____ **Date** _____

Name and address: TradeStation Securities 8050 S. W. 10th Street, Suite 2000. Plantation, Florida 33324.