

Please complete Associated Person's Information for all individual(s) who will have power or authority to directly or indirectly control the account (e.g. trustee, general partner, corporate officer, LLC manager or managing member) and for all beneficial owners with 10% or more ownership (e.g. shareholders of a corporation, members of an LLC, limited partners of a partnership).

Associated Person #2

Legal Name of Associated Person (officer, general partner, member, trustee, or beneficial owner)				Title at Organization/Trust	
ID #			Country/State of Issue		
Home Address					
City	State (U.S.)	Zip	Country	Province	
Primary Phone	Home Phone			E-mail Address	
Citizenship	U.S. Social Security #			Date of Birth	
Annual Income \$			Net Worth (excluding residence) \$		
Employment status <input type="checkbox"/> Employed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Unemployed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Homemaker			Type of Business/Industry		
Name of Employer		Title		Employer's Address	
Source of Income <input type="checkbox"/> Salary <input type="checkbox"/> Gift <input type="checkbox"/> Inheritance <input type="checkbox"/> Insurance proceeds <input type="checkbox"/> Legal settlement <input type="checkbox"/> Savings/Investments/Real Estate <input type="checkbox"/> Other (specify): _____					
Are you a director, 10% shareholder or policy-making officer of a publicly-owned company? No Yes If Yes, please list trading symbol: _____					
Are you or your spouse employed by or associated with an NYSE, FINRA and/or NFA registered brokerage firm or an exchange? No Yes <i>If yes, please provide an authorization letter from the member firm with whom you or your spouse are associated. Letter should be on corporate letterhead and signed by a Principal or Compliance officer of the firm. If duplicate confirms and statement are required, an e-mail address must be provided on letter.</i>					
All associated persons must provide valid government photo ID. Non-US residents must also include an address verification document.					

Please complete Associated Person's Information for all individual(s) who will have power or authority to directly or indirectly control the account (e.g. trustee, general partner, corporate officer, LLC manager or managing member) and for all beneficial owners with 10% or more ownership (e.g. shareholders of a corporation, members of an LLC, limited partners of a partnership).

Associated Person #3

Legal Name of Associated Person (officer, general partner, member, trustee, or beneficial owner)				Title at Organization/Trust	
ID #			Country/State of Issue		
Home Address					
City	State (U.S.)	Zip	Country	Province	
Home Phone	Business Phone			E-mail Address	
Citizenship	U.S. Social Security #			Date of Birth	
Annual Income \$			Net Worth (excluding residence) \$		
Employment status <input type="checkbox"/> Employed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Unemployed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Homemaker			Type of Business/Industry		
Name of Employer		Title		Employer's Address	
Source of Income <input type="checkbox"/> Salary <input type="checkbox"/> Gift <input type="checkbox"/> Inheritance <input type="checkbox"/> Insurance proceeds <input type="checkbox"/> Legal settlement <input type="checkbox"/> Savings/Investments/Real Estate <input type="checkbox"/> Other (specify): _____					
Are you a director, 10% shareholder or policy-making officer of a publicly-owned company? No Yes If Yes, please list trading symbol: _____					
Are you or your spouse employed by or associated with an NYSE, FINRA and/or NFA registered brokerage firm or an exchange? No Yes <i>If yes, please provide an authorization letter from the member firm with whom you or your spouse are associated. Letter should be on corporate letterhead and signed by a Principal or Compliance officer of the firm. If duplicate confirms and statement are required, an e-mail address must be provided on letter.</i>					
All associated persons must provide valid government photo ID. Non-US residents must also include an address verification document.					