



# Customer Account Transfer Form

Complete if you will be transferring funds or securities from another account.

Full Transfer     Partial Transfer

### Information About Customer's TradeStation Securities Account

Name/Acct. Title	Customer Social Security or Tax ID No.
TradeStation Securities Account No.	Second SS#

### Information About The Account To Be Transferred >>> Please make sure to include a copy of your current account statement <<<

Title of Account	Broker Clearing No.
Account Number	Name of Firm
Address of Firm	

### Complete This Section If Transferring From A Bank, Mutual Fund, or Credit Union — DOES NOT APPLY TO BROKERAGE FIRMS

You are hereby requested to:  Transfer all assets in kind  
 Transfer proceeds from Certificates of Deposit AT MATURITY  
 Liquidate Certificates of Deposit IMMEDIATELY. I am aware of and acknowledge the penalty I will incur from an early withdrawal.

### Complete This Section — To Effect a Partial Transfer (additional assets must be listed on a separate page with your signature)

Quantity	Description of Asset	Cash Balance (indicate credit or debit)

To the carrying firm named above:  
 If this account is a qualified retirement account, I have amended the applicable plan so that it names as successor custodian the below listed trustee. Unless otherwise indicated in the instructions above, please transfer all assets in my account to TradeStation Securities, Inc. I understand that to the extent any assets in my account are not readily transferrable with or without penalties, such assets may not be transferred within the timeframes required by NYSE Rule 412 or similar rule of the NASD or other designated examining authority.  
 Unless otherwise indicated in the instruction below, I authorize you to liquidate any nontransferable proprietary money market fund assets that are part of my account and transfer the resulting credit balance to the successor custodian. I authorize you to deduct any outstanding fees due you from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due you, I authorize you to liquidate the assets in my account to the extent necessary to satisfy that obligation. If certificates or other instruments in my account are in your physical possession, I instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian to transfer them in its name for the purpose of sale, when and as directed by me. I understand that upon receiving a copy of this transfer instruction, you will cancel all open orders for my account on your books.  
 I affirm that I have destroyed or returned to you credit/debit cards and/or unused checks issued to me in connection with my securities account.  
 I understand that you will contact me with respect to the disposition of any assets in my securities account that are non-transferrable.

### Age 70½ Restrictions — The following restrictions apply to a retirement account transfer

If I am over 70 1/2, I attest that none of the amount to be transferred will include the required minimum distribution for the current year pursuant to Section 401(a)(9) of the Internal Revenue Code.

### Signatures — A copy of the customer's most recent statement is required to process this transfer

Customer's Signature <b>X</b>		Date (must be completed)	Signature Guaranteed By
Joint Account Holders Signature / Plan Administrator <b>X</b>		If Joint Account	Medallion Signature Guarantee Program (if applicable)

### Letter of Acceptance — To the prior Trustee: Please be advised that TradeStation Securities, Inc. does hereby accept appointment as successor custodian

Successor Custodian Signature (TSS completes)	Date	Contact <b>TradeStation Securities, Inc., ACATS</b> <b>Telephone No. (954) 652-7920</b> <b>Fax No. (954) 652-7898</b>
<b>Mutual Fund Registration Instructions (TSS completes)</b>	Name and Address: <b>TradeStation Securities</b> <b>8050 S.W. 10th Street, Suite 2000</b> <b>Plantation, Florida 33324</b>	
Dividend and Capital Gains Instructions: <b>Retain Current Dividends / Capital Gains Option</b>		

DELIVERING FIRM Subject to the by-laws and rules of the N.S.C.C.

### IMPORTANT INFORMATION REGARDING THE TRANSFER OF MONEY MARKET MUTUAL FUNDS

On September 19, 2008, the U.S. Treasury announced the establishment of a temporary guarantee program ("Program") to protect shareholders of certain money market mutual funds. Under the Program, the U.S. Treasury will guarantee the stable net asset value of any publicly offered eligible money market mutual fund that applies for and pays a fee to participate in the Program. The Program is not automatic. The Program provides coverage to beneficial shareholders for amounts that they held in participating money market mutual funds as of the close of business on September 19, 2008.

If you held participating money market mutual funds shares on September 19, 2008, you could lose the benefit of the guarantee upon closure of the account from which you are transferring. To find out if the money market mutual fund you are transferring is in the Program, please contact the fund directly or check their Web sites. If you have any questions about a potential loss of coverage under the Program, we recommend that you contact your carrying Firm before closing your account.